C ONTENTS

	PAGE
Foreword	1-3
Author's Profile	1-5
Recommended Reading	1-7
Chapter-heads	1-9
A MODULE	
IT SECURITY OVERVIEW	
<u>1</u>	
INTRODUCTION TO INFORMATION SECUR	ITY
◆ Objectives	3
◆ Introduction	3
◆ Data And Information	4
◆ Information Classification	6
◆ Need To Know	8
◆ Information Security	9
 Other Applicable Attributes of Information Security 	12
◆ Physical Security	14
◆ Logical Security	14
◆ Know Your Progress	17
◆ Key Words	18

	B INSE
	20
	20
	21
	22
	23
	30
	32
	33
	33
T	
	36
	36
	37
	38
	43
	48
	49

<u>2</u>	
CORPORATE IT SECURITY	
◆ Objectives	20
◆ Meaning of Corporate IT Security	20
◆ Need for a Corporate IT Security Policy	21
◆ Legal requirements	22
◆ Essential features of Corporate IT Security Policy	23
 Methodology of framing an IT Security Policy 	30
 Awareness Initiatives 	32
◆ Know Your Progress	33
◆ Key Words	33
2	
3	
ORGANISATIONAL SECURITY AND RISK MANAGI	
Objectives	36
Organisational Security	36
Public Sector organisation	37
• Right to Information Act, 2005	38
◆ Risk Management	43
◆ Risk Metrics	48
◆ Downstream Liability	49
Risk Management in Banking	49
◆ Know Your Progress	50
◆ Key Words	50
4	
SECURITY GOVERNANCE	
◆ Objectives	53
◆ Policies	54
◆ Framework	54
◆ Key Responsibility Areas	55

ı	
Г	
ı	6 5
ı	الا
ı	IIDE

	CONTENTS	I-13
		PAGE
◆ Security Governance in Public Sector Undertakings		55
◆ Security Governance in Banks		56
◆ Compliance to Policies is a must in any organization		58
◆ Monitoring		58
◆ Know Your Progress		60
♦ Key Words		60
<u>5</u>		
PHYSICAL AND ENVIRONMENTAL SECU	RITY	
◆ Objectives		63
 Physical security equipment 		67
◆ Intrusion Prevention Systems (IPSs)		71
◆ Environmental Security		72
◆ Know Your Progress		74
◆ Key Words		74
<u>6</u>		
HARDWARE SECURITY		
◆ Objectives		77
◆ Hardware		77
 Network related devices like routers, switches, hubs 		81
◆ Know Your Progress		88
◆ Key Words		88
7		
SOFTWARE AND OPERATIONAL SECUR	RITY	
◆ Objectives		91
◆ Cloud Computing		97
Operational Security		98
◆ Banking		99
◆ Telecom Industry		100
◆ IT Industry		101
◆ BPO and KPO Industries		101

-14 CONTENTS	S
	PAGE
◆ User Level Controls	102
◆ Know Your Progress	103
◆ Key Words	103
<u>8</u>	
SECURITY STANDARDS AND BEST PRACTICES	
◆ Objectives	106
◆ Introduction	106
◆ ISO 27000 standards	108
◆ ISO – ISMS	109
What are the benefits of ISO 27001?	110
◆ COBIT-Control Objectives in IT	112
◆ Know Your Progress	115
◆ Key Words	115
<u>B MODULE</u>	
IT SECURITY CONTROLS	
<u>9</u>	
ASSET CLASSIFICATION AND CONTROLS	
◆ Introduction	121
 Asset Classification and Control 	121
 Protection of Information Assets 	122
◆ Control of Hardware equipment	123
◆ Control of Software Assets	128
◆ Know Your Progress	131
◆ Key Words	131
<u>10</u>	
PHYSICAL & ENVIRONMENTAL SECURITY CONTROL	LS
◆ Introduction	135
◆ Environment Controls	138
◆ E-Wastes	141
◆ Know Your Progress	144
♦ Key Words	145

_	PAGE
<u>11</u>	
SOFTWARE SECURITY CONTROL	
◆ Objectives	148
◆ Operating Systems (OS)	150
◆ Windows Security	152
◆ Databases	153
◆ Application Level Security	154
◆ Mobile Banking	161
◆ Internet Banking	163
◆ Credit Cards	164
◆ Know Your Progress	166
◆ Key Words	167
10	
<u>12</u>	
NETWORK CONTROLS	
◆ Introduction	170
◆ Controls in a layered network	172
◆ IP Security	173
◆ VLANs	174
◆ Communication Channels	176
◆ Protocols used in Network	179
◆ Intrusion Detection System (IDS)	183
♦ Firewalls	185
 Unified Threat Management 	187
◆ Know Your Progress	190
♦ Key Words	191
13	
-	NANGE
CONTROLS IN SOFTWARE DEVELOPMENT & MAINTE	
◆ Introduction	195
◆ Software Development	198

I-16 CONTENTS	
	PAGE
◆ In-house Software development	202
 Cloud Computing 	206
◆ Big Data	210
◆ Know Your Progress	211
◆ Key Words	211
<u>C MODULE</u>	
IT SECURITY THREATS	
<u>14</u>	
IT SECURITY THREATS	
◆ Introduction	217
◆ Threats!	217
◆ Cyber Espionage	226
◆ Cyber Terrorism	227
◆ Know Your Progress	231
◆ Key Words	232
<u>15</u>	
SOFTWARE ATTACKS - VIRUS AND MAI	LWARES
◆ Objectives	236
◆ Introduction	236
◆ Malware	238
◆ Controls	244
◆ Know Your Progress	248
♦ Key Words	248
<u>16</u>	
INCIDENT MANAGEMENT	
◆ Objectives	252
◆ Introduction	252
 Objectives of Incident Response 	254
◆ Action Methodology	256

}		CONTENTS	I-17
_			PAGE
•	Processes running		258
•	Awareness		262
•	Know Your Progress		262
•	Key Words		263
	<u>17</u>		
	FAULT TOLERANT SYSTEMS		
•	Objectives		266
•	Introduction		266
•	High Availability (HA)		269
•	Know Your Progress		275
•	Key Words		276
	<u>18</u>		
	BUSINESS CONTINUITY AND DISASTE RECOVERY MANAGEMENT	R	
•	• Objectives		279
•	Introduction		279
•	Downtime		281
•	Phase I		283
•	• Backups		285
•	Who should call 'disaster'?		288
•	Phase II		289
•	Phase III		290
	Know Your Progress		290
•	Key Words		291
	<u>D MODULE</u>		
	IS AUDIT & REGULATORY COMPLIA	VCE	
	19		
	INFORMATION SYSTEMS AUDIT		

297

◆ Objectives

I-18 CONTENTS	S
	PAGE
◆ Introduction	297
 History of EDP Audit in banks 	299
◆ IS Auditor	300
◆ External vs Internal IS Auditor	301
◆ Audit Methodologies	302
◆ Types of Audits	303
◆ Planning Phase	305
◆ Report Presentation	307
◆ Audit Policy	308
◆ COBIT and Framework	309
◆ Audit Reports	309
◆ Know Your Progress	311
♦ Key Words	311
<u>20</u>	
REGULATORY MECHANISM IN INDIAN BANKS	
◆ Objectives	314
◆ Introduction	314
◆ RBI as the regulator	315
 RBI's regulatory initiatives taken so far 	317
 ◆ Gopalakrishna Working Group 	318
◆ Compliance in banks	320
◆ Penal powers of RBI	322
 RBI's regulatory mechanism already in place 	323
◆ SEBI	326
◆ TRAI	327
◆ IRDAI	329
◆ Legal Enactments	330
◆ Know Your Progress	332
◆ Key Words	332